

General information about company	
Scrip code	532345
NSE Symbol	GATI
MSEI Symbol	NOTLISTED
ISIN	INE152B01027
Name of the company	Gati Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	24-01-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	21-01-2020
Shareholding pattern filed under	Regulation 31 (1) (c)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	No	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	9	12254985			12254985	10.05	12254985		12254985	10.05
(B)	Public	101482	109690992			109690992	89.95	109690992		109690992	89.95
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	101491	121945977			121945977	100	121945977		121945977	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				10.05			6137277	50.08	12254985
(B)	Public				89.95	16033340	14.62			108800216
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	16033340	13.15	6137277	5.03	121055201

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	3553036			3553036	2.91	3553036		3553036	2.91
(d)	Any Other (specify)	7	8701949			8701949	7.14	8701949		8701949	7.14
Sub-Total (A)(1)		9	12254985			12254985	10.05	12254985		12254985	10.05
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		9	12254985			12254985	10.05	12254985		12254985	10.05
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	1	157050			157050	0.13	157050		157050	0.13
(e)	Foreign Portfolio Investors	10	5736003			5736003	4.7	5736003		5736003	4.7
(f)	Financial Institutions/ Banks	13	471797			471797	0.39	471797		471797	0.39
(i)	Any Other (specify)	1	360			360	0	360		360	0
Sub-Total (B)(1)		25	6365210			6365210	5.22	6365210		6365210	5.22
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	98730	36937562			36937562	30.29	36937562		36937562	30.29
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	35	10936022			10936022	8.97	10936022		10936022	8.97
(b)	NBFCs registered with RBI	2	10004			10004	0.01	10004		10004	0.01
(e)	Any Other (specify)	2690	55442194			55442194	45.46	55442194		55442194	45.46
Sub-Total (B)(3)		101457	103325782			103325782	84.73	103325782		103325782	84.73
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		101482	109690992			109690992	89.95	109690992		109690992	89.95
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		101491	121945977			121945977	100	121945977		121945977	100
Total (A+B+C)		101491	121945977			121945977	100	121945977		121945977	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				2.91			3128000	88.04	3553036
(d)				7.14			3009277	34.58	8701949
Sub-Total (A) (1)				10.05			6137277	50.08	12254985
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				10.05			6137277	50.08	12254985
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.13	0	0			157050
(e)				4.7	0	0			5735258
(f)				0.39	0	0			418652
(i)				0	0	0			360
Sub-Total (B) (1)				5.22	0	0			6311320
(3)	Non-institutions								
(a(i))				30.29	0	0			36205421
(a(ii))				8.97	0	0			10936022
(b)				0.01	0	0			10004
(e)				45.46	16033340	28.92			55337449
Sub-Total (B) (3)				84.73	16033340	15.52			102488896
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				89.95	16033340	14.62			108800216
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	16033340	13.15			121055201
Total (A+B+C)				100	16033340	13.15	6137277	5.03	121055201

Individuals/Hindu undivided Family			
Serial No.	1	2	
Name of the Shareholders (I)	Mahendra Kumar Agarwal & Sons HUF	Mahendra Kumar Agarwal	Click here to go back
PAN (II)	AANHM6384A	ABLPA4518K	Total
No. of fully paid up equity shares held (IV)	1995333	1557703	3553036
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1995333	1557703	3553036
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.64	1.28	2.91
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	1995333	1557703	3553036
Class eg:y			
Total	1995333	1557703	3553036
Total as a % of Total Voting rights	1.64	1.28	2.91
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	1.64	1.28	2.91
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)	1598000	1530000	3128000
As a % of total Shares held (b)	80.09	98.22	88.04
Number of equity shares held in dematerialized form (XIV)	1995333	1557703	3553036
Reason for not providing PAN			
Reason for not providing PAN			

Shareholder type			
------------------	--	--	--

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Trusts	Trusts	Bodies Corporate	Trusts	Bodies Corporate
Name of the Shareholders (I)	Bunny Investments & Finance Private Limited	Jubilee Commercial & Trading Private Limited	Dhruv Agarwal Benefit Trust	Neera and Children Trust	TCI Finance Limited	Manish Agarwal Benefit Trust	Mahendra Investment Advisors Private Limited
PAN (II)	AAACB8313N	AAACJ6318D	AAATD8295N	AAATN4755H	AABCT7588A	AABTM5293J	AADCM9787E
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1273126	282313	201719	5599995	650000	544796	150000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1273126	282313	201719	5599995	650000	544796	150000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.04	0.23	0.17	4.59	0.53	0.45	0.12
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1273126	282313	201719	5599995	650000	544796	150000
Class eg:y							
Total	1273126	282313	201719	5599995	650000	544796	150000
Total as a % of Total Voting rights	1.04	0.23	0.17	4.59	0.53	0.45	0.12
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.04	0.23	0.17	4.59	0.53	0.45	0.12
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)	1220000	262490	201719	0	650000	525068	150000
As a % of total Shares held (b)	95.83	92.98	100	0	100	96.38	100

Number of equity shares held in dematerialized form (XIV)	1273126	282313	201719	5599995	650000	544796	150000
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Any Other (specify)	
Serial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	7
No. of fully paid up equity shares held (IV)	8701949
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8701949
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.14
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	8701949
Class eg:y	
Total	8701949
Total as a % of Total Voting rights	7.14
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	7.14
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	3009277
As a % of total Shares held (b)	34.58
Number of equity shares held in dematerialized form (XIV)	8701949

Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	NEW HORIZON OPPORTUNITIES MASTER FUND	Click here to go back
PAN (II)	AAECN7708F	Total
No. of fully paid up equity shares held (IV)	5000000	5000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5000000	5000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.1	4.1
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5000000	5000000
Class eg:y		
Total	5000000	5000000
Total as a % of Total Voting rights	4.1	4.1
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.1	4.1
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	5000000	5000000
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	Foreign Nationals	
PAN (II)		Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	360	360
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	360	360
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	360	360
Class eg:y		
Total	360	360
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	360	360
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Overseas Corporate Bodies	Overseas Corporate Bodies	Trusts	Others	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category	Category	Category	Category
Name of the Shareholders (I)	Bay Capital India Fixed Income Fund Limited	Kintetsu World Express Inc		ALTERNATE INVESTMENT FUND			
PAN (II)	AAICA2068F	AAFCK0939G					
No. of the Shareholders (I)	1	1	5	1	1740	172	3
No. of fully paid up equity shares held (IV)	7384755	4329114	147005	925343	1947180	801424	198000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7384755	4329114	147005	925343	1947180	801424	198000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6.06	3.55	0.12	0.76	1.6	0.66	0.16
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	7384755	4329114	147005	925343	1947180	801424	198000
Class eg:y							
Total	7384755	4329114	147005	925343	1947180	801424	198000
Total as a % of Total Voting rights	6.06	3.55	0.12	0.76	1.6	0.66	0.16
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	6.06	3.55	0.12	0.76	1.6	0.66	0.16
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of equity shares held in	7384755	4329114	147005	925343	1850745	801424	198000

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Serial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	IEPF
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)		Fine Estates Pvt Ltd	Globe Capital Market Limited	Allcargo Logistics Limited	SMIT Capital Services Private Limited		
PAN (II)		AAACF2738F	AAACG4267G	AACCA2894D	AAICS2194J		
No. of the Shareholders (I)	766	1	1	1	1	2	1
No. of fully paid up equity shares held (IV)	39208275	3476417	2731030	16033340	3570870	11713869	501098
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	39208275	3476417	2731030	16033340	3570870	11713869	501098
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	32.15	2.85	2.24	13.15	2.93	9.61	0.41
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	39208275	3476417	2731030	16033340	3570870	11713869	501098
Class eg:y							
Total	39208275	3476417	2731030	16033340	3570870	11713869	501098
Total as a % of Total Voting rights	32.15	2.85	2.24	13.15	2.93	9.61	0.41
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	32.15	2.85	2.24	13.15	2.93	9.61	0.41
Number of Locked in shares (XII)							
No. (a)	16033340	0	0	16033340	0	0	0
As a % of total Shares held (b)	40.89	0	0	100	0	0	
Number of equity shares held in dematerialized form (XIV)	39199965	3476417	2731030	16033340	3570870	11713869	501098

Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	2690
No. of fully paid up equity shares held (IV)	55442194
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	55442194
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	45.47
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	55442194
Class eg:y	
Total	55442194
Total as a % of Total Voting rights	45.47
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	45.47
Number of Locked in shares (XII)	
No. (a)	16033340
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	55337449
Reason for not providing PAN	
Reason for not providing PAN	

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence